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Clifford Chance US LLP

Docket No. 5677-111

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Leo J. Romanczyk, Jr. et al.

Filed:

April 11, 2001

Group Art Unit:

1654

Serial No:

09/833,134

Examiner:

C. Tate

For:

EXTRACTION OF STEROLS FROM COCOA HULLS

RECEIVED

Commissioner for Patents Washington, D.C. 20231

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<u>AMENDMENT</u>

Sir:

This is submitted in response to the Office Action mailed November 6, 2002. A response is due February 6, 2003.

Claims 2 to 11 and 15 to 23 are presented for examination on the merits.

Claims 1, 12-14 and 24-28 are restricted out.

Please amend Claims 2-4, 7-11, 15, 19, and 21 and add new Claim 29 as follows:

A cocoa oil extracted from cocoa hulls which comprises phytosterols and tocols.

The oil of Claim 2, wherein the phytosterols are campesterol, \(\beta\)-sitosterol, stigmasterol, cycloartenol, and 24-methylene cycloartanol.

4. The oil of Claim 2, wherein the phytosterols are a mixture of free and bound sterols.

Amendment Docket No.5677-111Page 1 of 11

- 7. The oil of Claim 2, wherein the cocoa hulls are from dried, unfermented or fermented, non roasted cocoa beans.
- 8. The oil of Claim 2, wherein the cocoa hulls are from micronized, non-roasted cocoa beans.
- 9. The oil of Claim 2, wherein the cocoa hulls are from roasted cocoa beans.
- 10. The oil of Claim 2, wherein the cocoa hulls are from Theobroma cacao.
- 11. A cocoa oil which is prepared by a process comprising the steps of:
 - a) grinding cocoa hulls;
- b) extracting the ground cocoa hulls with a solvent that dissolves phytosterols and tocols;
 - c) removing the solvent; and
 - d) recovering the cocoa oil.
- 15. A process for extracting a cocoa oil comprising phytosterols and tocols from cocoa hulls, which comprises the steps of:
 - a) grinding the cocoa hulls;
- b) extracting the ground cocoa hulls with a solvent that dissolves the phytosterols and the tocols;
 - c) removing the solvent; and
 - d) recovering the cocoa oil.
- 19. The process of Claim 15, further comprising the step of frationating the cocoa oil by preparative high pressure liquid chromatography or column chromatography.
- 21. The process of Claim 15, wherein the cocoa hulls are from micronized, unroasted cocoa beans.
- 29. (new) The oil of Claim 2, wherein the tocols are tocopherols and tocotrienols.

Amendment Docket No.5677-111Page 2 of 11